

## § 4 相对论理论的四维形式

- ❖ 相对论中的**时间**、**空间**是紧密相联系的；
- ❖ **三维空间和一维时间**构成一个统一的整体  
——**四维时空**

## 本节的主要内容：

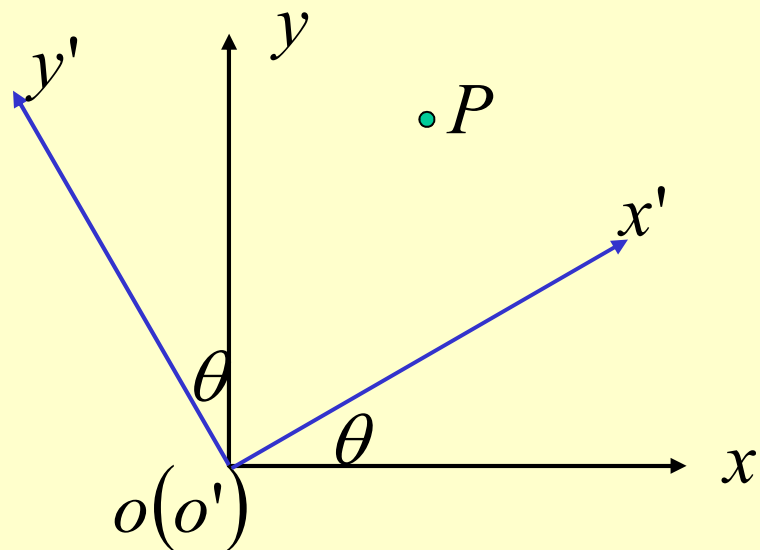
- 一． 回顾： 三维空间的正交变换
- 二． 物理量按三维空间变换性质的分类
- 三． Lorentz变换的四维形式
- 四． 四维协变量
- 五． 多普勒效应和光行差

# 一、三维空间的正交变换

## 1、平面上坐标系的转动

平面上的一点 P

$$\Sigma:(x, y); \quad \Sigma':(x', y')$$



变换关系:

$$\begin{aligned} x' &= x \cos \theta + y \sin \theta, \\ y' &= -x \sin \theta + y \cos \theta \end{aligned}$$

P点到坐标原点（假设重合）的距离保持不变:

$$x^2 + y^2 = x'^2 + y'^2$$

## 2、在三维坐标系发生转动下

空间一点 P:  $\Sigma : (x_1, x_2, x_3);$

$\Sigma' : (x_1', x_2', x_3')$

变换关系为:

$$\begin{cases} x_1' = a_{11}x_1 + a_{12}x_2 + a_{13}x_3 \\ x_2' = a_{21}x_1 + a_{22}x_2 + a_{23}x_3 \\ x_3' = a_{31}x_1 + a_{32}x_2 + a_{33}x_3 \end{cases}$$

$$\begin{cases} x_1' = a_{11}x_1 + a_{12}x_2 + a_{13}x_3 \\ x_2' = a_{21}x_1 + a_{22}x_2 + a_{23}x_3 \\ x_3' = a_{31}x_1 + a_{32}x_2 + a_{33}x_3 \end{cases}$$

矩阵形式为：

$$\begin{bmatrix} x_1' \\ x_2' \\ x_3' \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$$

一般地写成：
$$x_i' = \sum_{j=1}^3 a_{ij}x_j, \quad (i = 1, 2, 3)$$

$$x_i' = a_{ij}x_j, \quad (i = 1, 2, 3)$$

(一项中重复出现的下标则隐含有爱因斯坦求和规则)

坐标系在转动时，P点与坐标原点的距离保持不变，

$$\sum_{i=1}^3 x_i'^2 = \sum_{i=1}^3 x_i^2$$

- ① 满足上述条件的线性变换称为**正交变换**；
- ② 上述条件也称为**正交条件**。



$$x_i' = a_{ij}x_j, \quad (i = 1, 2, 3)$$

### 3、正交条件对变换系数的具体要求

$$\sum_{i=1}^3 x_i' x_i' = (a_{ij}x_j)(a_{ik}x_k)$$

$$= a_{ij}a_{ik}x_jx_k$$

$$= \sum_{j=1}^3 \sum_{k=1}^3 \left( \sum_{i=1}^3 a_{ij}a_{ik} \right) x_jx_k$$

$$\sum_{i=1}^3 x_i' x_i' = \sum_{j=1}^3 \sum_{k=1}^3 \left( \sum_{i=1}^3 a_{ij} a_{ik} \right) x_j x_k$$

另一方面

$$\sum_{i=1}^3 x_i x_i = \sum_{j=1}^3 \sum_{k=1}^3 \delta_{jk} x_j x_k$$

所以

$$\sum_{i=1}^3 a_{ij} a_{ik} = \delta_{jk}, \quad (j, k = 1, 2, 3)$$

——称为正交变换条件

简写成：
$$a_{ij} a_{ik} = \delta_{jk}$$

正交条件的矩阵形式：

一般地，两个 $3 \times 3$ 的矩阵a、b相乘，得c矩阵：

$$\begin{bmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & b_{22} & b_{23} \\ b_{31} & b_{32} & b_{33} \end{bmatrix} \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} = \begin{bmatrix} c_{11} & c_{12} & c_{13} \\ c_{21} & c_{22} & c_{23} \\ c_{31} & c_{32} & c_{33} \end{bmatrix}$$

则等式可写成：
$$\sum_{i=1}^3 b_{ji} a_{ik} = c_{jk}$$

Diagram description: The equation shows the summation of the product of elements from matrix b and matrix a to form an element in matrix c. Blue arrows point from the index 'j' in  $b_{ji}$  to the index 'j' in  $c_{jk}$ . A red arrow points from the index 'i' in  $a_{ik}$  to the index 'k' in  $c_{jk}$ .

或者

$$b_{ji} a_{ik} = c_{jk}$$

$$\sum_{i=1}^3 b_{ji} a_{ik} = c_{jk}$$

正交条件:

$$\sum_{i=1}^3 a_{ij} a_{ik} = \delta_{jk}, \quad (j, k = 1, 2, 3)$$

$$b_{ji} = a_{ij}, \quad \longrightarrow \quad b = [b_{ij}] = \begin{bmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{32} \\ a_{13} & a_{23} & a_{33} \end{bmatrix}$$

正交条件:

$$\begin{bmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{32} \\ a_{13} & a_{23} & a_{33} \end{bmatrix} \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

正交条件： $ba = I$

$$b = \begin{bmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{23} \\ a_{13} & a_{23} & a_{33} \end{bmatrix}$$

定义转置矩阵：

矩阵  $a$  的转置矩阵  $\tilde{a}$  定义为，

$$\tilde{a}_{ij} = a_{ji}, \quad \tilde{a} = \begin{bmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{23} \\ a_{13} & a_{23} & a_{33} \end{bmatrix}$$

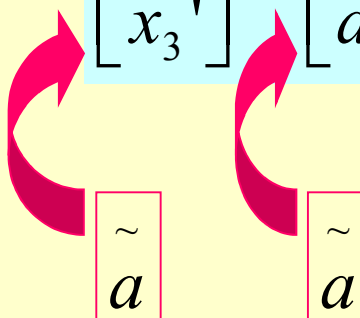
**正交条件：**若一个变换矩阵的转置矩阵与变换矩阵本身的乘积为单位矩阵，则这样的变换为正交变换。

$$\tilde{a} a = I$$

#### 4、逆变换：

$$\begin{bmatrix} x_1' \\ x_2' \\ x_3' \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$$

得到其逆变换的形式为


$$\begin{bmatrix} \tilde{a} \\ \tilde{a} \end{bmatrix}$$

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{32} \\ a_{13} & a_{23} & a_{33} \end{bmatrix} \begin{bmatrix} x_1' \\ x_2' \\ x_3' \end{bmatrix}$$

或者 
$$x_j = \sum_k \tilde{a}_{jk} x_k' = \sum_k a_{kj} x_k'$$

## 二. 物理量按空间变换性质的分类

物理量在三维空间转动下的变换性质来划分：  
标量、矢量、张量等

## 1、标量

- ① 物理量在三维空间无取向性；
- ② 在三维坐标系转动时，物理量保持不变。
- ③ 例：质量、电荷




## 2、矢量

- ① 物理量在三维空间有一定的取向性；
- ② 在三维空间有三个分量；在空间坐标发生转动时，三个分量按照同一方式变换
- ③ 例：速度 ( $v$ )、力 ( $F$ )、电场强度 ( $E$ )、磁场强度 ( $H$ )

### 3、二阶张量

- 1) 具有较复杂的空间取向性质 (如电四极矩)
- 2) 用并矢表示, 共有9个分量;

$$\vec{T} = \begin{pmatrix} T_{11} & T_{12} & T_{13} \\ T_{21} & T_{22} & T_{23} \\ T_{31} & T_{32} & T_{33} \end{pmatrix} \begin{pmatrix} \vec{e}_1\vec{e}_1 & \vec{e}_2\vec{e}_1 & \vec{e}_3\vec{e}_1 \\ \vec{e}_1\vec{e}_2 & \vec{e}_2\vec{e}_2 & \vec{e}_3\vec{e}_2 \\ \vec{e}_1\vec{e}_3 & \vec{e}_2\vec{e}_3 & \vec{e}_3\vec{e}_3 \end{pmatrix}$$

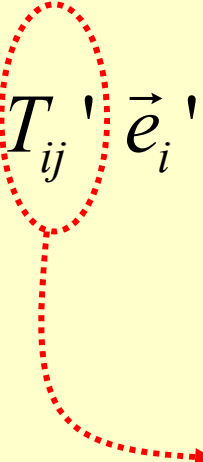
$$\vec{T} = \sum_{i,j} T_{ij} \vec{e}_i \vec{e}_j, \quad (i, j = 1, 2, 3)$$


$$x_j' = a_{jk} x_k$$

$$\vec{T} = T_{ij} \vec{e}_i \vec{e}_j, \quad (i, j = 1, 2, 3)$$

3) 在发生某种空间转动时,

$$\vec{T} = T_{ij}' \vec{e}_i' \vec{e}_j', \quad (i, j = 1, 2, 3)$$


$$T_{ij}' = \sum_{k=1}^3 \sum_{l=1}^3 a_{ik} a_{jl} T_{kl}$$

$$\overset{\gg}{\mathbf{T}} = \begin{pmatrix} T_{11} & T_{12} & T_{13} \\ T_{21} & T_{22} & T_{23} \\ T_{31} & T_{32} & T_{33} \end{pmatrix} \begin{pmatrix} \vec{e}_1\vec{e}_1 & \vec{e}_2\vec{e}_1 & \vec{e}_3\vec{e}_1 \\ \vec{e}_1\vec{e}_2 & \vec{e}_2\vec{e}_2 & \vec{e}_3\vec{e}_2 \\ \vec{e}_1\vec{e}_3 & \vec{e}_2\vec{e}_3 & \vec{e}_3\vec{e}_3 \end{pmatrix}$$

4) 张量的迹:

$$\text{Trace}(T) = \sum_{i=1}^3 T_{ii}$$

——张量的迹是一个标量

$$T'_{ij} = \sum_{k=1}^3 \sum_{l=1}^3 a_{ik} a_{jl} T_{kl}$$

5) 二阶对称张量:

① 定义:  $T_{ij} = T_{ji}$

② 二阶对称张量在空间转动变换下仍为对称张量

$$\begin{aligned} T'_{ij} &= \sum_{k=1}^3 \sum_{l=1}^3 a_{ik} a_{jl} T_{kl} = \sum_{k=1}^3 \sum_{l=1}^3 a_{ik} a_{jl} T_{lk} \\ &= \sum_{k=1}^3 \sum_{l=1}^3 a_{il} a_{jk} T_{kl} = \sum_{k=1}^3 \sum_{l=1}^3 a_{jk} a_{il} T_{kl} = T'_{ji} \end{aligned}$$

## 6) 二阶反对称张量：

① 定义： $T_{ij} = -T_{ji}$

### ② 二阶反对称张量性质：

- 迹为零
- 在空间转动变换下仍为反对称张量；

### 三、洛仑兹变换的**四维形式**

三维空间的转动是满足距离不变的线性变换；

$$x_1'^2 + x_2'^2 + x_3'^2 = x_1^2 + x_2^2 + x_3^2$$

不同惯性参照系之间的空时坐标变换——  
Lorentz变换满足**间隔不变性**

$$c^2 t'^2 - x_1'^2 - x_2'^2 - x_3'^2 = c^2 t^2 - x_1^2 - x_2^2 - x_3^2$$

或者

$$x_1'^2 + x_2'^2 + x_3'^2 - c^2 t'^2 = x_1^2 + x_2^2 + x_3^2 - c^2 t^2$$



$$\begin{aligned} & x_1'^2 + x_2'^2 + x_3'^2 - c^2 t'^2 \\ &= x_1^2 + x_2^2 + x_3^2 - c^2 t^2 \end{aligned}$$

1、定义第四维坐标：

$$x_4 = ict$$

惯性参照系变换下间隔不变性表示成

$$\sum_{i=1}^4 x_i'^2 = \sum_{i=1}^4 x_i^2$$

## 2、Lorentz变换的四维形式

$$\beta = \frac{v}{c}$$

$$x' = \gamma(x - \beta ct)$$

$$\gamma = 1/\sqrt{1 - \beta^2}$$

$$x' = \gamma [x_1 + i\beta(ict)]$$

或者

$$x_1' = \gamma(x_1 + i\beta x_4)$$



$$x_1' = \gamma(x_1 + i\beta x_4)$$

$$t' = \gamma\left(-\frac{\beta}{c}x + t\right),$$

$$x_2' = x_2$$

$$x_3' = x_3$$

$$ict' = \gamma(-i\beta x + ict),$$

$$x_4' = \gamma(-i\beta x_1 + x_4)$$

或者

$$x_4' = \gamma(-i\beta x_1 + x_4),$$

**四维空间，Lorentz变换的矩阵形式：**

$$\begin{bmatrix} x_1' \\ x_2' \\ x_3' \\ x_4' \end{bmatrix} = \begin{bmatrix} \gamma & 0 & 0 & i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -i\beta\gamma & 0 & 0 & \gamma \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix}$$

$$\beta = \frac{v}{c}$$

$$\gamma = 1/\sqrt{1-\beta^2}$$

或写成： $x'_\mu = a_{\mu\nu} x_\nu$

其中

$$x' = \begin{bmatrix} x'_1 \\ x'_2 \\ x'_3 \\ x'_4 \end{bmatrix},$$

$$x = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix},$$

$$a = \begin{bmatrix} \gamma & 0 & 0 & i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -i\beta\gamma & 0 & 0 & \gamma \end{bmatrix}$$

容易验证Lorentz变换满足正交变换条件：

$$\tilde{a} a = I$$

$$\beta = \frac{v}{c}$$

$$a = \begin{bmatrix} \gamma & 0 & 0 & i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -i\beta\gamma & 0 & 0 & \gamma \end{bmatrix}$$

$$\tilde{a} = \begin{bmatrix} \gamma & 0 & 0 & -i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ i\beta\gamma & 0 & 0 & \gamma \end{bmatrix}$$

$$\gamma = 1/\sqrt{1-\beta^2}$$

$$\tilde{a} a = \begin{bmatrix} \gamma & 0 & 0 & i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -i\beta\gamma & 0 & 0 & \gamma \end{bmatrix} \cdot \begin{bmatrix} \gamma & 0 & 0 & -i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ i\beta\gamma & 0 & 0 & \gamma \end{bmatrix} = \begin{bmatrix} \gamma^2 - \beta^2\gamma^2 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \gamma^2 - \beta^2\gamma^2 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = I$$

$$\begin{bmatrix} x_1' \\ x_2' \\ x_3' \\ x_4' \end{bmatrix} = \begin{bmatrix} \gamma & 0 & 0 & i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -i\beta\gamma & 0 & 0 & \gamma \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix}$$

### 3、Lorentz变换的逆变换形式

$$x_\mu = \tilde{a}_{\mu\nu} x_\nu' = a_{\nu\mu} x_\nu'$$

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} \gamma & 0 & 0 & -i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ i\beta\gamma & 0 & 0 & \gamma \end{bmatrix} \begin{bmatrix} x_1' \\ x_2' \\ x_3' \\ x_4' \end{bmatrix}$$

## 四、四维协变量

$$\begin{bmatrix} x_1' \\ x_2' \\ x_3' \\ x_4' \end{bmatrix} = \begin{bmatrix} \gamma & 0 & 0 & i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -i\beta\gamma & 0 & 0 & \gamma \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix}$$

## 1、物理量的协变性

- ① 按照相对论的观点，时间和空间统一在四维空间；
- ② 惯性参照系的空时坐标变换——Lorentz变换相当于四维空间的一种转动；
- ③ 物理量在Lorentz变换下的不变性称为**物理量的协变性**

$$x_4 = ict$$



2、按照物理量在四维空间转动下的变换性质，  
将物理量分类：

1) Lorentz标量

2) 四维矢量

3) 四维张量

## 1) Lorentz标量

- ① 在Lorentz变换下，保持不变的物理量；
- ② 例：两个事件的**间隔**，电荷的**电量**、波的**位相**
  - 在不同参照系中，测量得到的波矢和角频率不同；
  - 由于波形是相同的，因此在不同的惯性参照系中的位相是相同的，**即位相是Lorentz不变量**

$$\phi = \vec{k} \cdot \vec{x} - \omega t = \text{const.}$$

## 2) 四维矢量

$$a = \begin{bmatrix} \gamma & 0 & 0 & i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -i\beta\gamma & 0 & 0 & \gamma \end{bmatrix}$$

- ① 该物理量有四个分量（如四维空时坐标）；
- ② 在不同的惯性参照系之间，四维矢量的变换关系为Lorentz变换：

$$U' = aU$$

或者

$$U_{\mu}' = a_{\mu\nu} U_{\nu}$$

### 3) 四维张量

满足以下变换关系的物理量称为四维张量

$$T_{\mu\nu}' = a_{\mu\lambda} a_{\nu\delta} T_{\lambda\delta}$$

四维张量由16个分量。

## 五、多普勒效应和光行差

# The Doppler effect

- Doppler effect (or Doppler shift), named after the **Austrian physicist Christian Doppler**.
- Proposed in 1842 the change in frequency of a wave (or other periodic event) for an observer moving relative to its source.

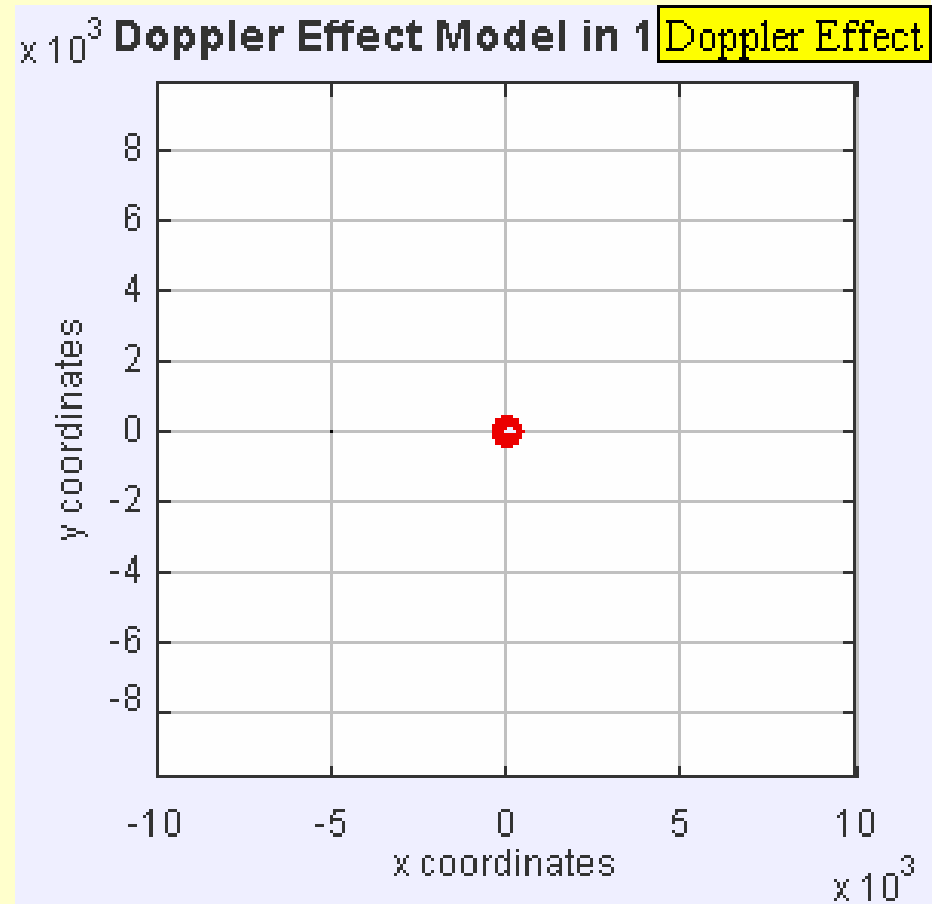
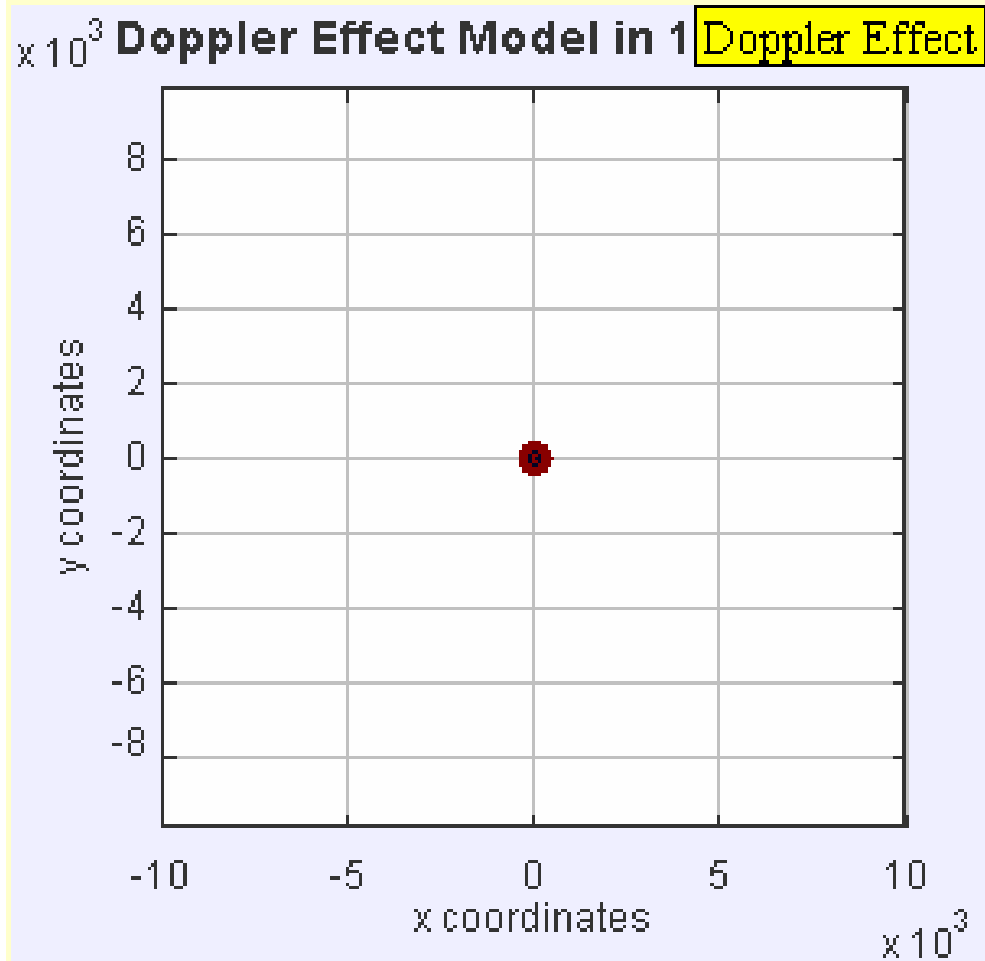


## For waves that propagate in a medium

- For waves that propagate in a medium, such as sound waves, the velocity of the observer and of the source are relative to the medium in which the waves are transmitted.
- The total Doppler effect may therefore result from motion of the source, motion of the observer, or motion of the medium.
- Each of these effects is analyzed separately.

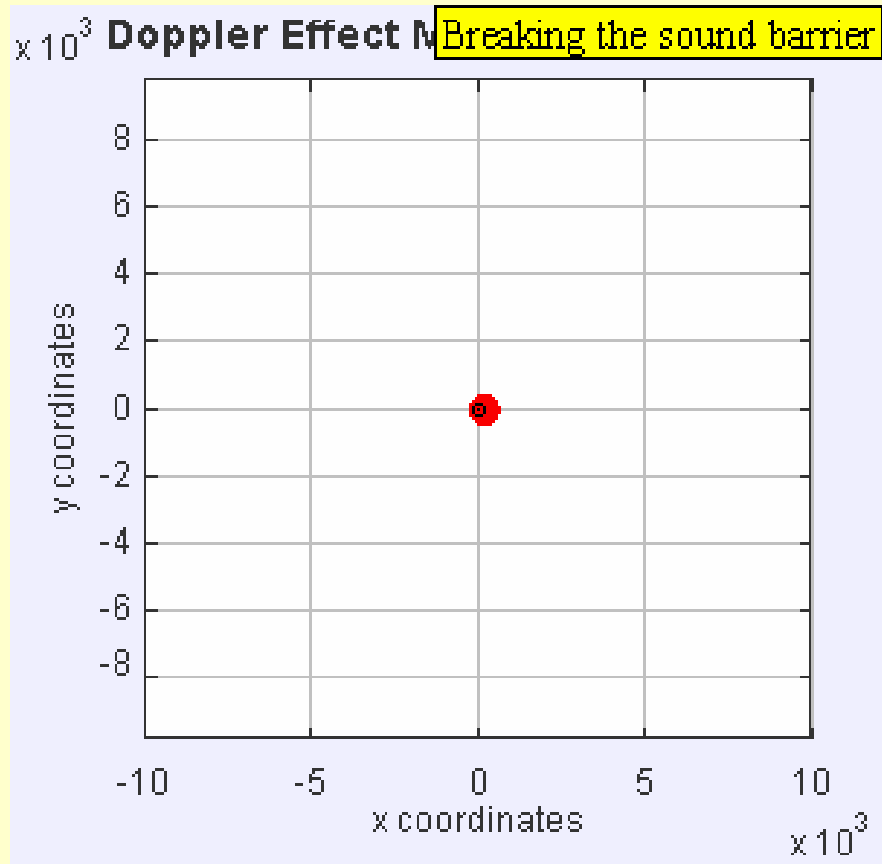
Sound wave-fronts propagate symmetrically away from the source at a constant speed  $c$ .

Sound source is moving with a speed  $u_s = 0.7 c$

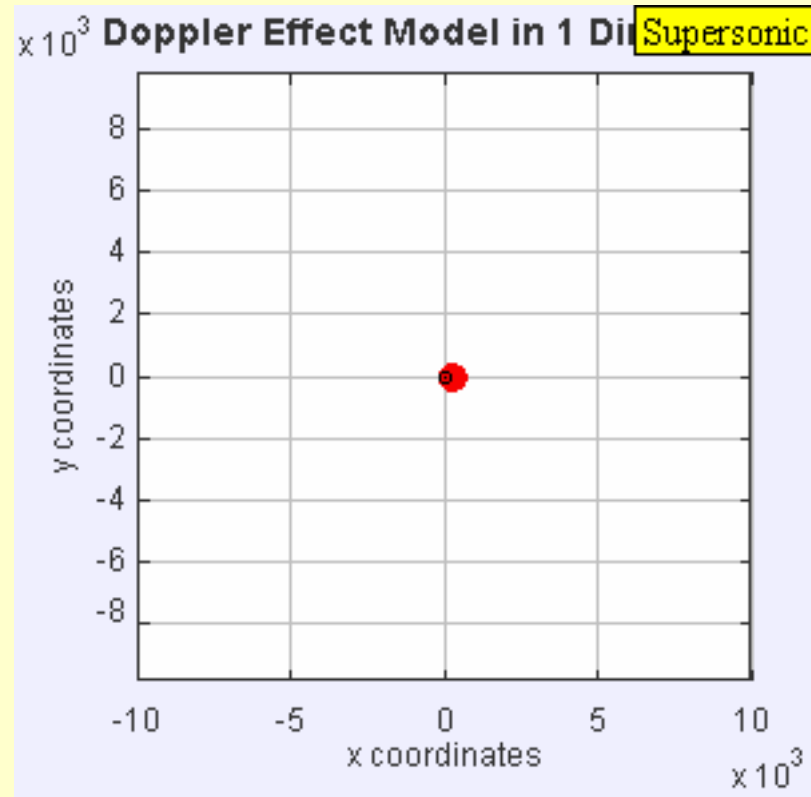




Sound source is moving at the speed of sound in the medium ( $u_s = c$ , or Mach 1).



Sound source has now broken through the sound speed barrier, and is traveling at  $1.4 c$  (Mach 1.4)



## Applications of Doppler effect

- *Astronomy*
- *Temperature measurement*
- *Radar*
- *Medical imaging and blood flow measurement*
- *Satellite communication*
- *Vibration measurement*

## For waves which do not require a medium, **such as light**

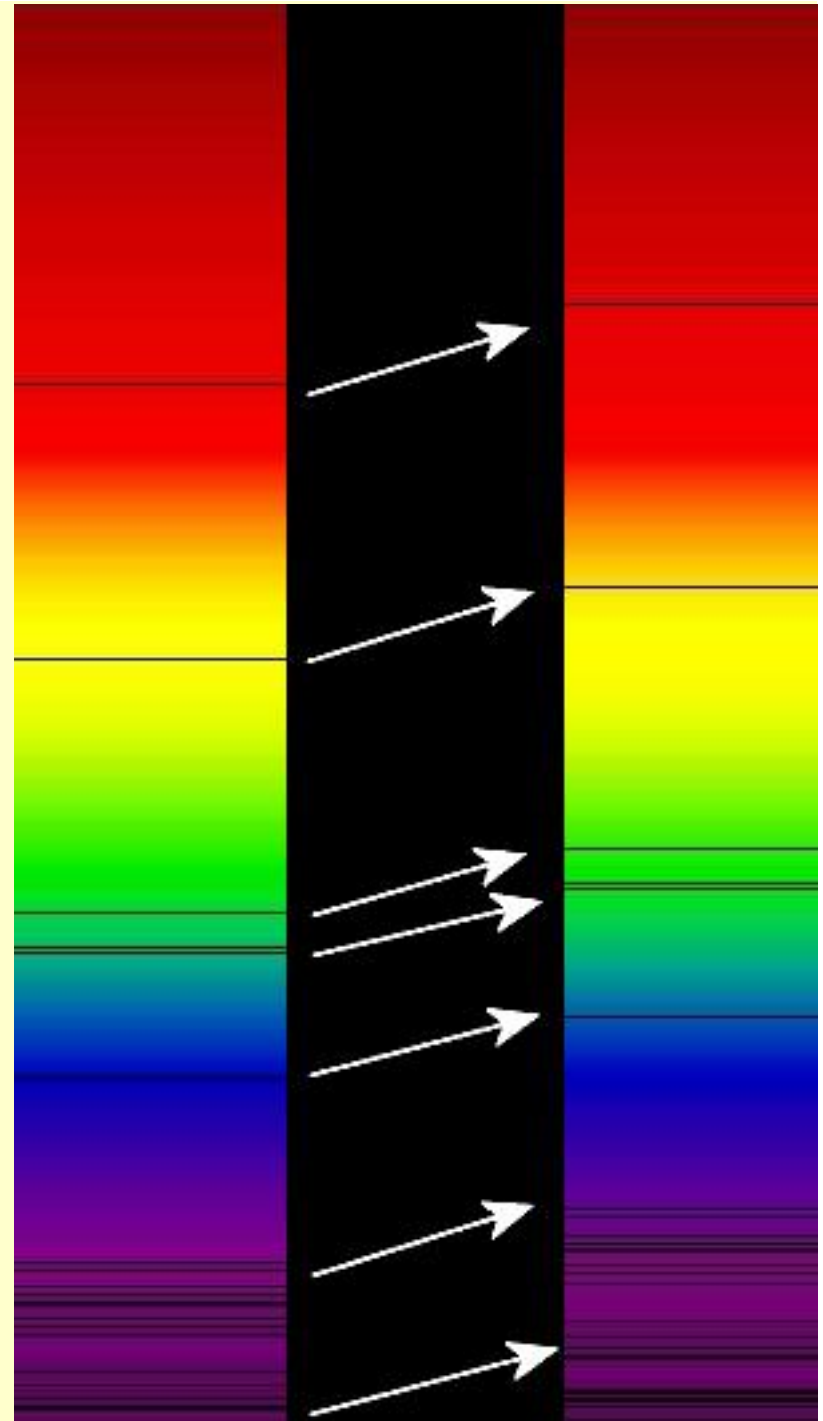
- **For waves** which do not require a medium, such as light or gravity in general relativity, only the relative difference in velocity between the observer and the source needs to be considered.

# Astronomy

- The Doppler effect for EM waves such as light is of great use in astronomy and **results in either a so-called redshift or blueshift.**
- It has been **used to measure** the speed at which stars and galaxies are approaching or receding from us, that is, the **radial velocity.**
- This is used to detect if an apparently single star is, in reality, a close binary and even to measure the rotational speed of stars and galaxies

# Absorption lines

- absorption lines are not always at the frequencies that are obtained from the spectrum of a stationary light source.
- Redshift of spectral lines in the optical spectrum of a supercluster of distant galaxies (right), as compared to that of the Sun (left)



# 1、谱线红移

## 1) 谱线红移现象：

与实验室拍摄的静止光源的（例如电离钙的H、K吸收谱线）特征谱线相比，来自遥远星系的特征谱线的波长向长波长方向移动，这种现象称为**谱线红移**。

## 2) 谱线红移的原因

- ① **多普勒效应：** 原子辐射的频率依赖于辐射源与观测者的相对运动；
- ② 引力红移。

## 2、四维波矢

1) 位相是Lorentz标量

$$\phi = \vec{k} \cdot \vec{x} - \omega t = \text{const.}$$

- ① 在  $\Sigma'$  参照系中光波波矢、角频率  $(\mathbf{k}', \omega')$ ;
- ② 在  $\Sigma$  参照系中光波波矢、角频率  $(\mathbf{k}, \omega)$ 。

位相: 
$$\begin{aligned} \phi &= \vec{k} \cdot \vec{x} - \omega t \\ &= k_1 x_1 + k_2 x_2 + k_3 x_3 + \left( i \frac{\omega}{c} \right) (ict) \end{aligned}$$



$$x_4 = ict$$

2) 定义四维波矢:  $k_\mu = \left( k_1, k_2, k_3, i\frac{\omega}{c} \right)$

四维波矢的第四分量:

$$k_4 = i\frac{\omega}{c}$$

位相:  $\phi = \vec{k} \cdot \vec{x} - \omega t = k_1 x_1 + k_2 x_2 + k_3 x_3 + \left( i\frac{\omega}{c} \right) (ict)$

在Lorentz变换下位相不变的性质可写成

$$\phi = k_\mu x_\mu = \text{const.}$$

$$k_\mu = \left( k_1, k_2, k_3, i \frac{\omega}{c} \right)$$

### 3、不同惯性系中四维波矢的变换关系

四维波矢为四维矢量，故变换关系为

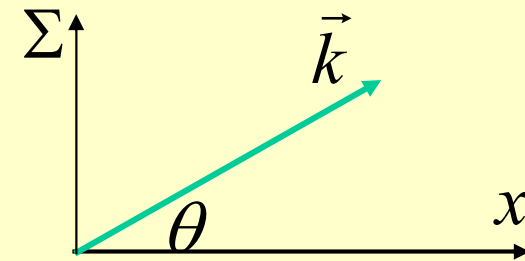
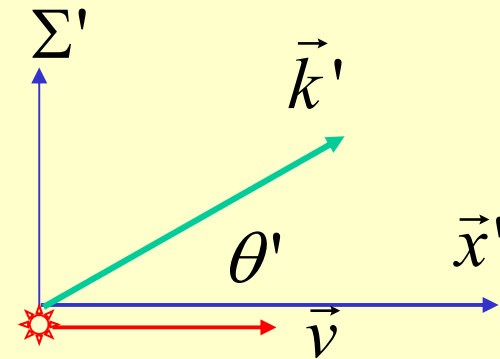
$$k'_\mu = a_{\mu\nu} k_\nu$$

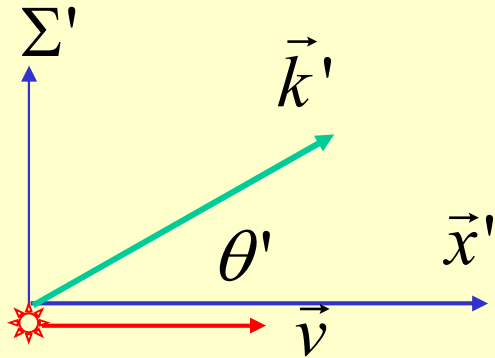
或者

$$\begin{bmatrix} k'_1 \\ k'_2 \\ k'_3 \\ i \frac{\omega'}{c} \end{bmatrix} = \begin{bmatrix} \gamma & 0 & 0 & i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -i\beta\gamma & 0 & 0 & \gamma \end{bmatrix} \begin{bmatrix} k_1 \\ k_2 \\ k_3 \\ i \frac{\omega}{c} \end{bmatrix}$$

## 4、两个现象的定量分析

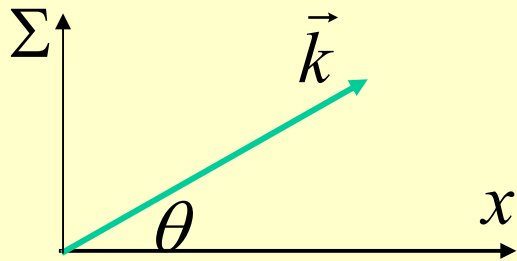
- **多普勒效应**——在不同的参照系中观察到的频率存在差异；
- **光行差**——在光源运动时，光的传播方向亦发生变化；





$$k_x' = \frac{\omega'}{c} \cos \theta',$$

$$k_y' = \frac{\omega'}{c} \sin \theta',$$



$$k_x = \frac{\omega}{c} \cos \theta,$$

$$k_y = \frac{\omega}{c} \sin \theta,$$

$$k_x' = \frac{\omega'}{c} \cos \theta', \quad k_x = \frac{\omega}{c} \cos \theta,$$

$$k_y' = \frac{\omega'}{c} \sin \theta', \quad k_y = \frac{\omega}{c} \sin \theta,$$

$$\begin{bmatrix} k_x' \\ k_y' \\ k_z' \\ i\frac{\omega'}{c} \end{bmatrix} = \begin{bmatrix} \gamma & 0 & 0 & i\beta\gamma \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -i\beta\gamma & 0 & 0 & \gamma \end{bmatrix} \begin{bmatrix} k_x \\ k_y \\ k_z \\ i\frac{\omega}{c} \end{bmatrix}$$

根据Lorentz变换：

$$\left\{ \begin{array}{l} k_x' = \gamma k_x + i\beta\gamma k_4, \\ k_y' = k_y, \\ i\frac{\omega'}{c} = -i\beta\gamma k_x + \gamma \left( i\frac{\omega}{c} \right) \end{array} \right.$$

$$\begin{cases} k_x' = \gamma k_x + i\beta\gamma k_4, \\ k_y' = k_y, \\ i\frac{\omega'}{c} = -i\beta\gamma k_x + \gamma\left(i\frac{\omega}{c}\right) \end{cases}$$

$$\begin{cases} \frac{\omega'}{c} \cos \theta' = \gamma\left(\frac{\omega}{c} \cos \theta - \frac{\omega v}{c^2}\right), \\ i\frac{\omega'}{c} = -i\gamma \frac{\omega v}{c^2} \cos \theta + i\gamma \frac{\omega}{c} \end{cases}$$

求解得： $\omega' = \left(-\frac{v}{c} \cos \theta + 1\right) \gamma \omega$

$$\text{tg } \theta' = \frac{\sin \theta}{\gamma\left(\cos \theta - \frac{v}{c}\right)}$$

$$\omega' = \gamma \left( -\frac{v}{c} \cos \theta + 1 \right) \omega$$

$$\operatorname{tg} \theta' = \frac{\sin \theta}{\gamma \left( \cos \theta - \frac{v}{c} \right)}$$

- ① 第一个关系式表示：在不同的参照系中观察到的频率存在差异——**多普勒效应**；
- ② 第二个关系式表示：在光源运动时，光的传播方向亦发生变化——**光行差**。

$$\omega' = \gamma \left( -\frac{v}{c} \cos \theta + 1 \right) \omega$$

多普勒效应：

- ①  $\Sigma'$  为光源静止的参照系， $\omega' = \omega_0$  为静止光源的辐射频率；
- ② 运动光源辐射的角频率为

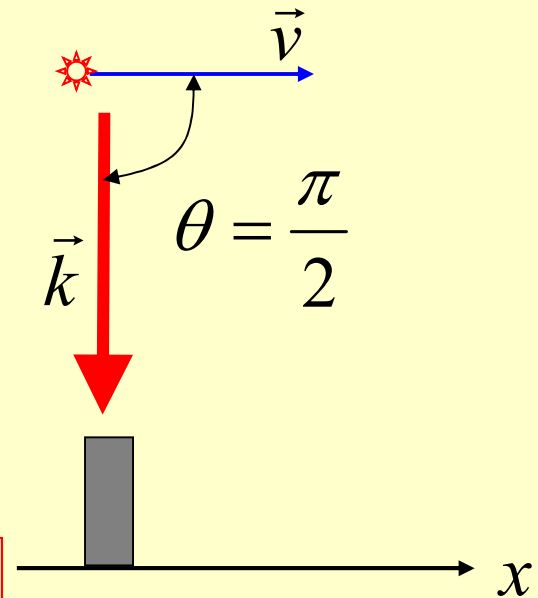
$$\omega = \frac{\omega_0}{\gamma \left( 1 - \frac{v}{c} \cos \theta \right)},$$



$$\omega = \frac{\omega_0}{\gamma \left( 1 - \frac{v}{c} \cos \theta \right)},$$

(a) 在垂直于光源的运动方向观测辐射

$$\omega = \omega_0 \sqrt{1 - \frac{v^2}{c^2}} < \omega_0$$



此时，观测到的辐射频率小于静止光源的辐射频率——**横向多普勒效应**。

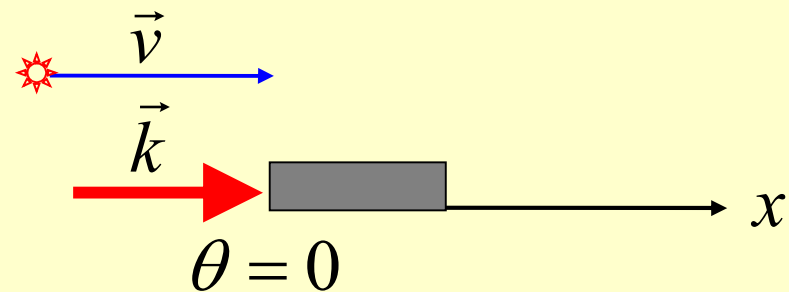
(b) 光源向着观测者方向运动

$$\omega = \frac{\omega_0}{\gamma \left( 1 - \frac{v}{c} \cos \theta \right)},$$

$$\theta = 0$$

$$\omega = \frac{\sqrt{1 + v/c}}{\sqrt{1 - v/c}} \omega_0 > \omega_0$$

$$\lambda = \frac{\sqrt{1 - v/c}}{\sqrt{1 + v/c}} \lambda_0 < \lambda_0$$



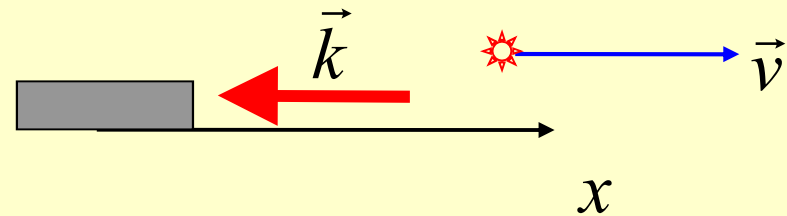
此时，观测到的辐射频率大于静止光源的辐射频率；辐射的波长减小（波长发生蓝移）。

(c) 光源远离观测者而去 ( $\theta = \pi$ )

$$\omega = \frac{\omega_0}{\gamma \left( 1 - \frac{v}{c} \cos \theta \right)},$$

$$\omega = \frac{\sqrt{1 - v/c}}{\sqrt{1 + v/c}} \omega_0 < \omega_0$$

$$\lambda = \frac{\sqrt{1 + v/c}}{\sqrt{1 - v/c}} \lambda_0 > \lambda_0$$



- 观测到的辐射频率小于静止光源的辐射频率；而辐射的波长增大（波长发生红移）；
- 根据来自星系辐射的红移量，可以估算出星系远离地球的速度——宇宙膨胀。

Positive radial velocity means the star is receding from the Sun, negative that it is approaching.

- Since blue light has a higher frequency than red light, the spectral lines of an approaching astronomical light source exhibit a blueshift and those of a receding astronomical light source exhibit a redshift.
- Among the nearby stars, the largest radial velocities with respect to the Sun are +308 km/s (BD-15° 4041, also known as LHS 52, 81.7 light-years away)
- and -260 km/s (Woolley 9722, also known as Wolf 1106 and LHS 64, 78.2 light-years away).

# Mass–energy equivalence

- Mass–energy equivalence is a consequence of special relativity.
- The energy and momentum, which are separate in Newtonian mechanics, form a four-vector in relativity, and this relates the time component (the energy) to the space components (the momentum) in a nontrivial way.
- For an object at rest, the energy–momentum four-vector is  $(E, 0, 0, 0)$ : it has a time component which is the energy, and three space components which are zero.
- By changing frames with a Lorentz transformation in the  $x$  direction with a small value of the velocity  $v$ , the energy momentum four-vector becomes  $(E, Ev/c^2, 0, 0)$ . The momentum is equal to the energy multiplied by the velocity divided by  $c^2$ .
- As such, the Newtonian mass of an object, which is the ratio of the momentum to the velocity for slow velocities, is equal to  $E/c^2$ .

## 考虑补充关于利用光的Doppler效应来冷却原子的知识

- [http://en.wikipedia.org/wiki/Doppler\\_effect](http://en.wikipedia.org/wiki/Doppler_effect)